

D A D A V I D S O N

D.A. Davidson
Welcomes You to
Our Nebraska Branches



D A D A V I D S O N

The Strength of Advice®



CORE VALUES

OUR VISION

To be the most respected financial services firm.

OUR MISSION

To empower our business partners to deliver exceptional service to each other, our clients and our communities by investing in, and sharing our successes with, our partners.

OUR VALUES

D.A. Davidson clients are entitled to an exceptional experience.

Whether a current or prospective shareholder, every partner is regarded as a fellow owner of the company, treated with dignity, respect and trust befitting an owner. Every partner understands and accepts the important role he or she plays in the pursuit of our vision.

All partners are stakeholders.

We honor and preserve our tradition of providing warm, highly skilled, professional and ethical service to all. We endeavor always to serve in memorable ways, and recognize that the quality of our service is best judged by those we serve.

We care for our communities.

Beginning with our respect for and interactions with our partners, D.A. Davidson is committed to fostering an honest and generous environment that extends beyond our walls to the communities where we live and work.

Our Local Management Team



Trevor Kula
Senior Vice President,
Financial Advisor,
Co-Director of Nebraska Branches



John Decker
Senior Vice President,
MD Investment Banking,
Co-Director of Nebraska Branches



Kelli Damgaard
Supervisory Branch
Operations Manager

Our Resources



Britt Cross
Vice President,
Director of Insurance and Annuities



Steve Condon
President,
Asset Management and Trust



Paul Grieger
Managing Director,
Public Finance Banker



Ryan Halleran
Senior Vice President,
Director of Wealth Planning



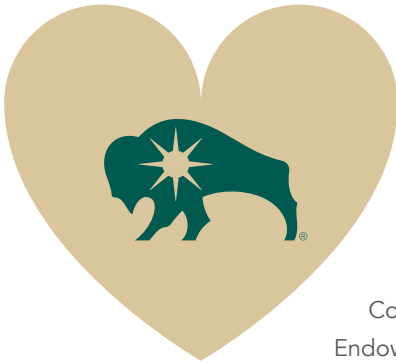
Rory McKinney
Managing Director,
Head of Investment Banking



Michael Purpura
President, Wealth Management

Our Commitment to Giving Back to our Communities

A Local Impact: We are proud to live and work in Nebraska



Our employees are highly-involved in their communities. Several lend their hands by participating as board members for organizations such as the Omaha Business Ethics Alliance, AKSARBEN Foundation, the Lincoln Children's Zoo, Lincoln Chambers of Commerce, Bryan Hospital, the Community College Foundation Board and United Way Endowment Fund.

Just as we work to improve our clients' financial well-being, D.A. Davidson & Co. works to strengthen the communities around us. We donate to a broad spectrum of philanthropic organizations and causes, with the goal of improving lives while bringing hope and comfort to those who need it most. Each year we designate a significant portion of our firm's pretax profits to supporting nonprofit organizations. We believe we can create the most impact by focusing our giving on the areas that reflect the needs in our communities.

We are honored to give to organizations such as Together Inc., Youth Emergency Services (YES), No More Empty Pots, Lincoln Children's Zoo, Mount Michael High School Scholarship Program and People's City Mission.



Our Nebraska Offices at a Glance

Omaha | Lincoln | Columbus

33 Financial Advisors

18 Support Staff

Over 22 years of professional experience, on average

Approximately \$5 billion in client assets

On Staff Within Our Local Offices

3 FPQP®, 4 CWS®, 4 CFP®, 2 CFA®, 1 CWP®,
1 CAP®, 1 CRPS®, 1 CRPC®

Equity Capital Markets – D.A. Davidson had a very successful year in 2021 helping clients raise capital and were involved with 72 capital raises, both public and private, that totaled over \$19 billion, a 66% increase over the prior year. The group ended the year with record revenues.

Wealth Management – Our Wealth Management group continues to expand its coverage of U.S. institutional accounts, increasing revenue by more than 30% year over year.

Investment Banking – In 2021, the team completed 133 transactions representing approximately \$23 billion in aggregate deal value. D.A. Davidson also raised more than \$19 billion of capital for clients, an annual record for the firm.

Firm-wide Awards

Bragg Lewis Knutson Community Service Award

A neighborly spirit, expressed through community involvement and philanthropic efforts, is one of our firm's core values and a defining characteristic of our corporate culture. The Bragg Lewis Knutson Community Service Award celebrates associates' generosity and compassion as expressed through their service to community. This award recognizes an individual whose long sustained volunteer efforts embody the spirit of giving and whose dedication has made a positive impact in the lives of others. It was named for Bob Bragg, Gene Lewis, and Don Knutson, the three D.A. Davidson executives who perished in the company plane crash of November 8, 1994. This is one of our firm's most prestigious honors.

2019 Recipient - **Bryan Schneider** | Omaha office

2021 Recipient - **Lisa Smith** | Lincoln office

Ian B. Davidson Cultural Excellence Award

First created in 2005 and named for our Chairman Emeritus, this award honors D.A. Davidson associates for their commitment to upholding the company's core values.

About D.A. Davidson

D.A. Davidson Companies is an independent, employee-owned company with a rich history spanning over 85 years. We view our associates as partners dedicated to providing excellent service to our clients and each other. Partnership is more than just a concept for us, as all our employees own D.A. Davidson Companies stock. As co-workers of the enterprise, we share the responsibility and satisfaction that comes from achieving excellence in everything we do.

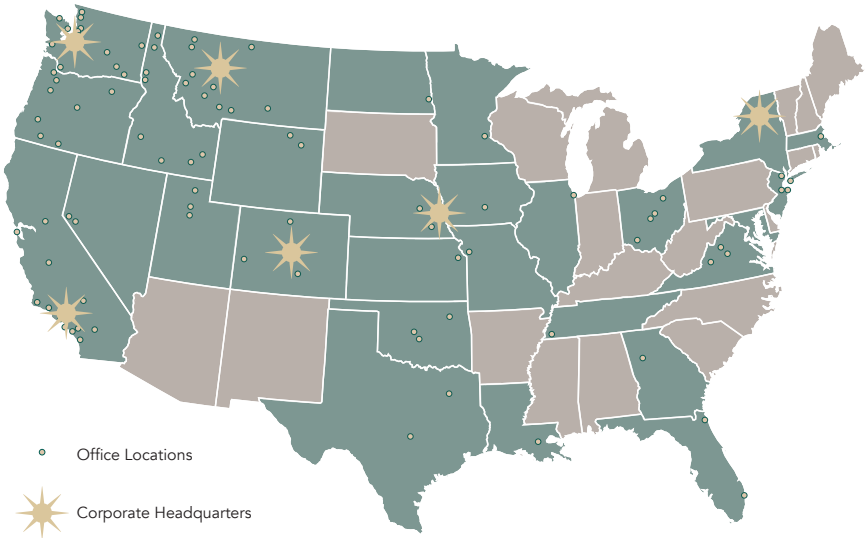
We take each client's business very seriously, but we don't take ourselves too seriously. We want our company to maintain its friendly, open, and respectful culture. We like to encourage candid communication and productive give-and-take that will make our companies and each of us better. All of us hope that you will agree and look forward to hearing from you soon.



THE STRENGTH OF ADVICE®

THE STRENGTH OF ADVICE®

375 Financial Advisors
Approximately 1,475 associates employed
throughout 101 offices in 27 states



D|A|DAVIDSON
WEALTH MANAGEMENT

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115 Canopy Street, Suite 400 | Lincoln, NE | (402) 476-3000
450 Regency Parkway, Suite 400 | Omaha, NE | (402) 898-1700

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